

In the United States Patent and Trademark Office

Serial No.:	10/697,541	Docket No.:	17209-440CP1
Filed:	October 30, 2003	Conf. No.:	7586 Art Unit: 3694
Applicant(s):	Alan M. Buckwalter	Examiner:	Merchant, Shahid R.
For:	Method and Apparatus for Monitoring and Evaluating Limit Order Trading		

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE

Sir:

Further to the Non-Final Office Action dated January 28, 2010 (hereinafter "Office Action"), and in view of the following amendments and/or remarks, Alan M. Buckwalter and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 18 of this paper.

35 USC § 112 remarks begin on page 19 of this paper.

35 USC § 103 remarks begin on page 19 of this paper.